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Post your completed form to: National Processing Centre, Private Bag 92061, Victoria Street West, Auckland 1142

## Filing financial statements with the Australian Securities & Investments Commission (ASIC)

For use by New Zealand companies registered as foreign companies in Australia

- > The New Zealand Companies Office (the Companies Office) and ASIC have agreed that New Zealand incorporated companies registered as a foreign company in Australia, may file their financial statements with the New Zealand Companies Office.
- > The Companies Office will forward the financial statements to ASIC for registration with this form, which should be completed in full.

**Company name**

**Company number**

**Australian registered body  
number (ARBN)**

Attached are the company's full financial statements for the financial year:

I verify that the document/s attached to this form are true copies of the company's financial statements required under section 601CK(1) of the Australian Corporations Act 2001.

Year

**Signed by**

.....  
Signature of director / authorised person

Date

Full legal name of director / authorised person

**Notes**

- Under section 601CK(1) of the Australian Corporations Act 2001, foreign companies must provide to ASIC copies of their financial statements consisting of :
  - > a profit and loss statement
  - > a balance sheet,
  - > a cashflow statement
  - > any other document the company is required to prepare, by the law in its place of origin.
- No filing fee is required where the financial statements of a New Zealand company registered as a foreign company in Australia are provided to the New Zealand Companies Office.
- For New Zealand companies that also hold an Australian Financial Services Licence (AFSL), ASIC has advised that those companies must continue to meet their AFSL lodgement requirements directly with ASIC.

For more information regarding ASIC's filing requirements for foreign companies, AFSL audit/reporting requirements (and associated AFSL Class Orders), please visit ASIC's website at: [www.asic.gov.au](http://www.asic.gov.au)

**Contact details of person completing this form:**

Name:

Address:

Email address:

Telephone number:

Fax number (if any):