

www.companiesoffice.govt.nz | 0508 COMPANIES | 0508 266 726

Post your completed form to: National Processing Centre, Private Bag 92061, Victoria Street West, Auckland 1142

Filing form for FMC reporting entities

Financial Markets Conduct Act 2013 (FMC Act 2013)

Entity name

Entity number

Balance date

IMPORTANT

- › This form should only be used by FMC reporting entities (other than companies).
- › All companies registered on the NZ Companies Register that are FMC reporting entities should register financial statements using the online filing service at www.companies.govt.nz. Do not submit company financial statements in paper form.
- › Schemes registered under the FMC Act 2013 must file financial statements online on the Disclose Register at <https://disclose-register.companiesoffice.govt.nz>

Complete the following information

Total assets

Total liabilities

Total revenue

Currency

Audit firm / Auditor

Auditor opinion

- Exempt
- Unqualified opinion
- Unqualified opinion with emphasis on matter
- Unqualified opinion with other matter
- Qualified opinion
- Adverse opinion
- Disclaimer of opinion

Form completed by

Name:

Address:

Email address:

Telephone number:

Fax number (if any):

Entity name

Entity number

Payment details

Amount

	Companies Office fee GST excl	FMA Levy GST excl	Total GST excl	GST	Total GST incl
<input type="checkbox"/> Financial statements filing fee	\$175.00	\$80.00	\$255.00	\$38.25	\$293.25

Please indicate here if another fee applies for example, annual return fee *

<input type="checkbox"/> Amount: \$	For:
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*Annual return fee - \$71.90 (including GST)

(Section 127 Friendly Societies and Credit Unions Act 1982 or Section 103 Building Societies Act 1965)

Method of payment

Choose your payment method from the options below. **Please do not send cash or purchase orders.**

Credit card

Credit card type:

- Visa
 Amex
 Mastercard
 Diners

Expiry date

Name of cardholder

Card number

Card Security Code

Card Security Code

Your Card Security Code number is the 3- or 4-digit number printed on your card.

For Visa, Mastercard & Diners cards this is typically found printed on the signature panel on the back of your card.

On Amex cards this is a 4-digit number printed on the front of the card, above the main credit card number.

Signature of cardholder

or

Direct debit

Your (or your organisation) name

OR

Your 9-digit User ID No

Signature